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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT | ATTY DOCKET NO. 60617.300501 | SERIAL NO. Unknown |
| | APPLICANT George C. K. Chen | |
| | FILING DATE Herewith | GROUP Unknown |

U. S. PATENT DOCUMENTS


| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|-----------------|------|------|-------|----------|-------------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |

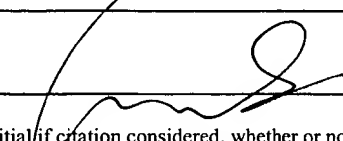
Jc857 U.S. PTO
 09/967090
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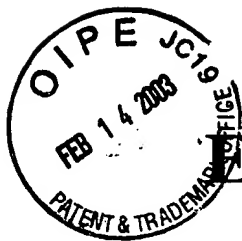
FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | NAME | CLASS | SUBCLASS | TRANSLATION YES NO |
|--|----|-----------------|------|---------|------|-------|----------|-----------------------|
| | AL | | | | | | | |
| | AM | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | | |
|---|----|--|---|
|  | AQ | | Product Data Sheet for E-TEK titled "Fabry-Perot Wavelength Locker (FPWL), 1 page |
| | AR | | |


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|---|------------------------------------|
| EXAMINER  | DATE CONSIDERED May 15/2003 |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |



Electronic Information Disclosure Statement



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ITU FREQUENCY/WAVELENGTH REFERENCE

Application: 
09/967090
Confirmation: 6634
Applicant(s): George Chen
Docket Number: 60617.300501
Group Art Unit:
Examiner: CHANG, Audrey Y
search string: (6141130).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Citation No. | Patent Number | Date | Bar Code | Patentee | Class | Subclass |
|---|--------------|---------------|------------|--|----------|-------|----------|
|  | P01 | 6141130 | 2000-10-31 |  | Ip | 359 | 179 |

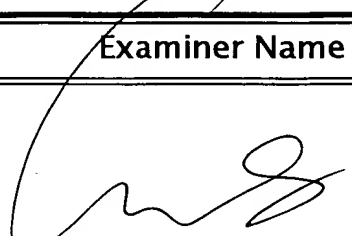
Remarks

(Remarks are not for responding to an office action.)

The listed reference was cited in an International Search Report (ISR) in the prosecution of a related Patent Cooperation Treaty (PCT) application. The ISR lists the reference as an "X" category document, citing the "entire document" as relevant to claims 1-16 there (claims 1-14 here). This category is defined as a "document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to have an inventive step when the document is taken alone." The ISR included no optional statements of the Authorized Officer's rationale. We feel that this reference is relevant to the present case, but we urge that the Authorized Officer is mistaken about the degree of relevance.

For example, the reference seems to have nothing whatsoever to do with gas tuning, which is key in the claimed invention.

Signature

| Examiner Name | Date |
|---|---------|
|  | 5/13/03 |